



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/633,087	08/04/2000	Derrick R. Meyer	(TT3327)

Diana M Sangall  
Fletcher Yoder & Van Someren  
P O Box 692289  
Houston, TX 77269-2289

## FORMALITIES LETTER



\*OC000000005425970\*

Date Mailed: 09/25/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$234.
  - \$234 for 13 total claims over 20.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1054.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/10/2000 MBERHE 00000070 010365 09633087

01 FC:101	710.00 CH
02 FC:105	130.00 CH
03 FC:103	234.00 CH



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Derrick R. Meyer et al.

Serial No.: 09/633,087

Filed: August 4, 2000

For: IMPLEMENTING LOCKS IN A  
DISTRIBUTED PROCESSING  
SYSTEM

§  
§ Group Art Unit: 2756  
§  
§  
§ Examiner: Unassigned  
§  
§  
§ Atty. Docket: AMDM:0003/FLE  
§ TT3327  
§

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

CERTIFICATE OF MAILING 37 C.F.R. 1.8	
I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date below:	
09/29/00	
Date	Jennifer Presswood

Sir:

**RESPONSE TO THE NOTICE TO FILE MISSING PARTS**

In Response to the Notice to File Missing Parts of Application mailed on September 25, 2000, please find enclosed the following papers: (1) a copy of the Notice to File Missing Parts of Application; and (2) a copy of the Fee Transmittal filed August 4, 2000, which authorizes the Commissioner to charge Deposit Account No. 01-0365, Order No. AMDM:0003/FLE for the filing fee.

Accordingly, please charge Deposit Account No. 01-0365 for the \$1,044.00 filing fee as originally instructed. Due to this oversight on the part of the Patent Office, it is not believed that the surcharge of \$130.00 is due.

Respectfully submitted,

Date: September 29, 2000

Diana M. Sangalli  
Reg. No. 40,798  
FLETCHER, YODER & VAN SOMEREN  
P.O. Box 692289  
Houston, Texas 77269-2289  
(281) 970-4545



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Derrick R. Meyer et al.

Serial No.: 09/633,087

Filed: August 4, 2000

For: IMPLEMENTING LOCKS IN A  
DISTRIBUTED PROCESSING  
SYSTEM

§  
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Group Art Unit: 2756

Examiner: Unassigned

Atty. Docket: AMDM:0003/FLE  
TT3327

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

CERTIFICATE OF MAILING  
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date below:

September 29, 2000  
Date

*Jennifer Presswood*  
Jennifer Presswood

Sir:

**REQUEST FOR CORRECTED FILING RECEIPT**

A corrected filing receipt is hereby requested in view of the errors which appear in the original. For the convenience of the Patent and Trademark Office, attached is a photocopy of the original receipt on which the errors have been crossed out in black and the corrections have been highlighted in yellow.

Because the errors are not due to any errors by Applicants, no fee is believed to be due in connection with the filing of this document. However, should any fee under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to this document, the Commissioner is hereby authorized to deduct said fee from Deposit Account No. 01-0365; Order No. AMDM:0003/FLE (TT3327).

Respectfully submitted,

*Diana M. Sangalli*

Diana M. Sangalli  
Reg. No. 40,798  
Fletcher, Yoder & Van Someren  
P.O. Box 692289  
Houston, Texas 77269-2289  
(281) 970-4545

Date: September 29, 2000



AMDM: 0003/san.

UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/633,087	08/04/2000	2756	0	(TT3327)	13	33	3

Diana M Sangall  
Fletcher Yoder & Van Someren  
P O Box 692289  
Houston, TX 77269-2289

## FILING RECEIPT



\*OC000000005425969\*

Date Mailed: 09/25/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Derrick R. Meyer, Austin, TX ;  
Jonathan M. Owen, Northboro, MA ;  
Mark D. Hummel, Franklin, MA ;  
James B. Keller, Palo Alto, CA ;

## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 09/25/2000

RECEIVED

SEP 28 2000

Fletcher, Yoder &amp; Van Someren

## Title

~~Implementing~~ locks in a distributed processing system  
Implementing

## Preliminary Class

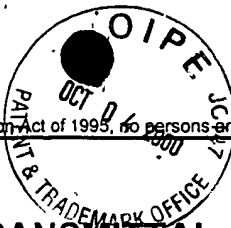
709

Data entry by : JACKSON, MINNIE

Team : OIPE

Date: 09/25/2000





# FEE TRANSMITTAL

## Complete if Known

Application Number	Unassigned
Filing Date	Herewith
First Named Inventor	Derrick R. Meyer et al.
Group Art Unit	unknown
Examiner Name	Unknown
Attorney Docket Number	AMDM:0003 (TT3327)

TOTAL AMOUNT OF PAYMENT (\$ 1,044.00)

## METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number **01-0365/ ORDER NO.: AMDM:0003 (TT3327)**

Deposit Account Name **Advanced Micro Devices, Inc.**

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.31(b)

2. ☐ Payment Enclosed:  
☐ Check ☐ Money Order ☐ Other

## FEE CALCULATION (fees effective 10/01/96)

### 1. FILING FEE

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	690	201	380	Utility filing fee	690.00
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	760	208	380	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1)					(\$ 690.00)

### 2. CLAIMS

		Extra		Fee from below	Fee Paid
Total Claims	<u>33</u> - 20 =	<u>13</u>	X	<u>18</u>	= <u>234.00</u>
Independent Claims	<u>3</u> - 3 =	<u>0</u>	X	<u>78</u>	= <u>0</u>
Multiple Dependent Claims	_____		X	_____	= _____
Large Entity		Small Entity			
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	
103	18	203	9	Claims in excess of 20	
102	78	202	39	Independent claims in excess of 3	
104	260	204	130	Multiple dependent claim	
109	78	209	39	Reissue independent claims over original patent	
110	18	210	9	Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$)	234.00

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920	112	920	Requesting publication of SIR prior to Examiner action	
113	1,840	113	1,840	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within first month	
116	400	216	200	Extension for response within second month	
117	950	217	475	Extension for response within third month	
118	1,570	218	755	Extension for response within fourth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive unavoidably abandoned application	
141	1,320	241	660	Petition to revive unintentionally abandoned application	
142	1,320	242	660	Utility issue fee (or reissue)	
143	450	243	225	Design issue fee	
144	670	244	335	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	120.00
146	790	246	395	Filing a submission after final rejection (37 CFR 1.129(a))	
149	790	249	395	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify)					
Other fee (specify)					
SUBTOTAL (3)					(\$ 120.00)

\* Reduced by Basic Filing Fee Paid

## SUBMITTED BY

Typed or Printed Name	Diana M. Sangalli	Reg. Number	40, 798
Signature		Date	August 4, 2000
		Deposit Acct. User ID	

Complete (if applicable)